

STATE OF NEW YORK WORKERS' COMPENSATION BOARD  
DISABILITY BENEFITS LAW  
CERTIFICATE/CANCELLATION OF INSURANCE  
Filed on behalf of Employer in compliance with Article 9 of the Workers' Compensation Law

Initial     Cancellation     Reinstatement     Supersedes

Transaction Effective Date: 05 04 07

A. INSURER/CARRIER		
1 INSURER/CARRIER NAME Zurich American Insurance Company	2 INSURER/CARRIER CODE B170009000	3 INSURER/CARRIER TELEPHONE NO (631) 845-2200
4 CONTACT NAME Roseann Sultan	5 TITLE Administrative Services Manager	6 TODAY'S DATE 05 04 07
B. CURRENT EMPLOYER INFORMATION		
7 WCB EMPLOYER NUMBER	8 NYS UJER NUMBER 86 04154	9 EMPLOYER FEIN 13 2600199
10 EMPLOYER'S LEGAL NAME INCLUDING (DBA/AKA/TA) LEGAL SERVICES FOR NEW YORK CIIY		13 LEGAL STATUS (SEE BACK OF FORM) 03
11 ADDRESS C/O IRAVERS, O'KEEFE AND ASSOC. INC. 11 HANOVER SQUARE, 21ST FLOOR		14 # OF EMPLOYEES 298
12 CITY NEW YORK,	STATE NY	ZIP CODE 10005
		15 TELEPHONE NO. (212) 431-7200
C. POLICY		
<i>*If policyholder is an Association, Union or Trustee for which Form DB-820.3 is filed, do not complete items 16 and 18.</i>		
16 POLICY NUMBER* 5290893 001	17 POLICY EFFECTIVE DATE 01 01 07	18 POLICY FORM NUMBER* 992-E
19 WCB PLAN NUMBER (Only for Assoc. Union or Trustee with Form DB-801 on file)		20 PREMIUM AMOUNT 19438
D. REASONS FOR CANCELLATION		
<input type="checkbox"/> Non-Payment of Premium <input type="checkbox"/> Other _____		
<input type="checkbox"/> Not Subject/No Eligible Employees    Date: _____          Date: _____		
<input type="checkbox"/> Out of Business                             Date: _____		
<input type="checkbox"/> Seasonal    Date: _____		
CANCELLATION OR TERMINATION SENT TO EMPLOYER: <span style="border: 1px solid black; padding: 2px;">Date: _____</span>		
E. Complete if SUPERSEDES box is checked at top of form	F. POLICYHOLDER - If different from Employer	
21 EMPLOYER'S LEGAL NAME, INCLUDING (DBA/AKA/TA) LEGAL SERVICES FOR NEW YORK CIIY	27 POLICYHOLDER NAME	
22 ADDRESS C/O IRAVERS, O'KEEFE AND ASSOC. INC. 11 HANOVER SQUARE, 21ST FLOOR	28 POLICYHOLDER ADDRESS	
23 CITY NEW YORK,	STATE NY	ZIP CODE 10005
24 EMPLOYER FEIN	25 POLICY EFFECTIVE DATE	29 CITY                             STATE                             ZIP CODE
26 POLICY NUMBER	30 POLICYHOLDER FEIN	
G		
1 The policy covers Employer's employees as follows:		
a. <input checked="" type="checkbox"/> All employees eligible under the New York State Disability Benefits Law		
b. _____ All employees eligible under the New York State Disability Benefits Law except those classes of employees eligible to receive benefits under another policy or plan accepted by the Chair.		
c. _____ Only the following class or classes of employees.		
2 The employee contributions required and benefits insured are:		
a. <input checked="" type="checkbox"/> The same in all respects as under Section 204 and not in excess of those authorized under Section 209.		
b. _____ As described in the attached supplement Form DB820.1		
c. _____ As described in Employer's Application for Acceptance of a Plan, Form DB800 filed with and accepted by the Chair		
d. _____ As described in Certificate of Insurance Form DB820.3 filed on behalf of the Association Union or Trustees (policyholders) on _____ or amended Form DB820 3 filed thereafter.		
Date _____		

To be filed by Insurance Carrier on behalf of Employer to provide, through insurance, exactly statutory benefits (Section 204) OR benefits under a plan accepted by the Chairman.

## INSTRUCTIONS

- Check **only one** transaction box
- All dates should be entered in the MM/DD/CCYY format
- The transaction effective date is the date that the Initial filing, Cancellation, Reinstatement or Supersedes is to be effective
- **You must enter a valid Federal Employer Identification Number (FEIN) in box 9 for all transactions.**
- Enter only one complete employer legal entity name in box 10.
- Carriers should record employer location information in their own records. **Do not file a form for this purpose.**

### **SECTIONS A, B, C AND G MUST ALWAYS BE COMPLETED**

**Initial Filing or Certificate of Insurance:** Sections A, B, C and G must be completed

**Cancellations:** Sections A, B, C, D and G must be completed. Place an "X" in the box next to the reason for cancellation and provide effective date if required. **All cancellations must be filed strictly in accordance with Section 226, subdivision 5 of the Disability Benefits Law.**

**Reinstatements:** Sections A, B, C and G must be completed

**Supersedes:** Sections A, B, C, E and G must be completed. Provide the new information in Sections B or C and give the previously reported information in the appropriate field(s) in Section E.

**NOTE:** If there has been a legal entity change, do not file as supersedes.

To process legal entity changes, you must file a cancellation for the old legal entity and file an initial filing with a current coverage effective date for the new legal entity.

**Section G:** If the policy provides only statutory benefits for all eligible New York employees; 1a and 2a should be checked. If the policy provides other than statutory benefits for all eligible New York employees, please check appropriate boxes under 1 and 2, and attach any required forms.

***Failure to supply all of the required information will impede the processing and could result in rejection of this document.***

### **LEGAL STATUS - (INSURED LEGAL STATUS)**

- |   |  |
|---|--|
| 01 INDIVIDUAL                                       | 10 LIMITED LIABILITY COMPANY (LLC)     |
| 02 PARTNERSHIP                                      | 11 TRUST OR ESTATE                     |
| 03 CORPORATION                                      | 12 EXECUTOR OR TRUSTEE                 |
| 04 ASSOCIATION, LABOR UNION, RELIGIOUS ORGANIZATION | 13 LIMITED LIABILITY PARTNERSHIP (LLP) |
| 05 LIMITED PARTNER                                  | 99 OTHER                               |
| 06 JOINT VENTURE                                    |  |

LEGAL SERVICES FOR NEW YORK CITY  
C/O TRAVERS, O'KEEFE AND ASSOC. INC.  
11 HANOVER SQUARE, 21ST FLOOR  
NEW YORK, NY 10005

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